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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	Unassigned
				Filing Date	February 13, 2004
				First Named Inventor	Masaharu NAKAJI
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	1	of	1	Attorney Docket Number	402975/TAKADA

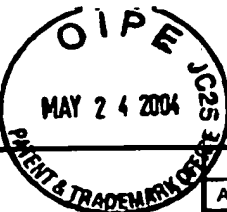
FOREIGN PATENT DOCUMENTS								
Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**
Sh	A 1	JP	10-125948		NIPPON TELEGR & TELEPH CORP <NTT>	5/15/1998		X+
Sh	A 2	JP	11-330536		NEC CORP	11/30/1999		X+

OTHER - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
Sh	A 3	T. IDO et al., "Highly Efficient Lens-Less Coupling of High-Speed Waveguide Photodiode to SMF and its Application to an Extremely Thin Surface-Mountable 10-Gbps Receiver Module", <i>OFC 2003, pp. 66-67, Vol. 1, March 24, 2003.</i>		
Sh	A 4	ISHIMURA et al., "40Gbps Waveguide Photodiode for 1.3µm/1.55 µm wavelength", <i>Extended abstract of presentation made March 27, 2003 at The Japan Society of Applied Physics and Related Societies.</i>		

Examiner Signature	<i>John K...</i>	Date Considered	9/8/05
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- * A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).
- + An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

1

of

1

Application Number

10/777.136

Filing Date

February 13, 2004

First Named Inventor

NAKAJI

Group Art Unit

2874

Examiner Name

Unassigned

Attorney Docket Number

402975

**Examiner
Initials**

Doc. No.

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.

Translation

Yes

No. 4

Jh

B 1

MATSUOKA et al.: "Properties of $\lambda = 1.5 \mu\text{m}$ 10Gb/s Waveguide PIN-PD", Extended Abstracts (The 50th Spring Meeting, 2003); No. 3, '27 p-H-15', p. 1246, The Japan Society of Applied Physics and Related Societies.



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ISHIMURA et al.; "40Gbps Waveguide Photodiode for 1.3 μ m/1.55 μ m wavelength",
Extended Abstracts (The 50th Spring Meeting, 2003); No. 3, '27 p-H-16', p. 1247, The
Japan Society of Applied Physics and Related Societies.

**Examiner
Signature**

Julie H. [Signature]

Date Considered

9/8/09

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